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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/053,496

11/09/2001

Fong Piau

FLEX1814

CONFIRMATION NO. 3412

PENINSULA IP GROUP 2290 North First Street, Suite 101 San Jose, CA 95131 COPY OF PAPERS
ORIGINALLY FILED

FORMALITIES LETTER

OCC000000007705179

Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/03/2002 BABRAHA1 00000066 10053496

01 FC:201 02 FC:205 03 FC:203 370.00 OP 65.00 OP 36.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 4 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 471.

The following item(s) appear to have been omitted from the application:

- Figure(s) 1,2,3H,3I, described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Fong Piau and Ong Chun Huat

SERIAL NUMBER: 10/053,496

FILED: November 9, 2001

FOR: A Method and System For

Controlling Compact Flash Memory

PATENT

ART UNIT NO.: 2818

EXAMINER:

UNKNOWN

ATTORNEY DOCKET NO.:

FLEX1814

San Jose, California April 12, 2002

I hereby certify that this Transmittal referred to as enclosed therein is being deposited with the United States Postal Service on April 32, 2002 in an envelope addressed to Box Missing Parts Assistant Commissioner for Patents, Washington, D.C. 20231 Elia Salinas

Typed a printed name of person mailing paper or fee

Signature of person mailing paper

ORIGINALLY FILED COPY OF PAPERS

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

Responsive to the Notice to File Missing Parts - Filing Date Granted, dated November 9, 2001, Applicants herein submit the following documents for review by the Assistant Commissioner of Patents and Trademarks:

- 1) the Combined Declaration and Power of Attorney,
- 2) the Assignment (Document) with coversheet.
- 3) Figs. 1 and 2



April 12, 2002

CLAIMS AS FILED

Number Filed	Number Ext	tra		Rate	Basic Fee 37 CFR 1.16(a) \$370.00
Total				40.00	e indige early activation and
Claims (37 CFR 1.16(c))	24-20=	<u>4</u>	_X_	\$9.00	\$36.00
Independent					
Claims (37 CFR 1.16(b)	3 – 3=	0	X	\$42.00	\$.00
Multiple dependent claim(s), if any			y	
(37 CFR 1.16(d))				\$270.00	
Filing Fee Calculation				;	\$406.00
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1. Fee Payment Being Made At This Time

	•		wanted the state of the state
<u>X</u>	Basic filing fee	\$	406.00
<u>X</u>	Surcharge for Filing Notice of Missing Parts	\$	65.00
<u>X</u>	recording assignment (\$40.00; 37 CFR 1.21(h))	\$	40.00
	(φτο.ου, στ στιν τ.Στ(π))	. Ψ	40.00
	Total Fees Enclosed	\$	511.00

For: "Method and System For A Compact Flash Memory Controller"

Respectfully submitted,

PENINSULA IP GROUP

A Professional Law Corporation

Douglas A. Chaikin, Esq. 2290 North First Street, Suite 101 San Jose, California 95131

Reg. No. 29,140 (408) 965-4001



ர்க்கூயீnited States Patent and Trademark Office

IN RE APPLICATION OF:

Fong Piau and Ong Chun Huat

SERIAL NUMBER: 10/053,496

FILED: November 9, 2001

FOR: A Method and System For

Controlling Compact Flash Memory

PATENT

ART UNIT NO.:

2818

EXAMINER:

UNKNOWN

ATTORNEY DOCKET NO .:

FLEX1814

San Jose, California
April 12, 2002

ORIGINALLY FILED

I hereby certify that this document referred to as enclosed therein is being deposited with the United States Postal Service on April , 2002 In an envelope addressed to Box Missing Parts Assistant Commissioner for Patents, Washington, D.C. 20231

Elia Salinas

Typed or printed name of person mailing paper or fee

Signature of person mailing paper

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Part Commissioner of Patents and Trademarks Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks:

Responsive to the Notice to File Missing Parts of Application - Filing Date Granted, of November 9, 2001, please enter the following Response.

Enclosed for the Commissioner's review are:

- 1. Copy of the Notice to File Missing Parts of Application Filing Date
- Granted;
 - 2. Oath and Declaration in this matter;
 - 3. Original and copy of Transmittal of Assignment with coversheet;



April 12, 2002

4. Figs. 1 and. 2 which were inadvertently omitted from the original filing of the patent application, and as such, are not considered new subject matter and therefore the original filing date of November 9, 2001 for this application be retained.

Fig. 1 is a block diagram used to depict a prior art flash memory controller that can be found in the <u>Description of Related Art</u> section of the original and currently filed patent application (No. 10/053,496).

Fig. 2 is a block diagram illustrating the operative components of a compact flash controller in accordance with the present invention as discussed and claimed in the Detailed Description of the Present Invention of the originally filed patent application (No. 10/053,496).

- 5. Fig. 3H and Fig. 3I, will be corrected as part of either a preliminary amendment or in response to an office action.
- 6. Check in the amount of \$511.00 for the surcharge fee pursuant to 37 CFR 1.16(e):

Respectfully submitted,

PENINSULA IP GROUP
A Professional Law Corporation

Douglas A. Chaikin, Esq. 2290 North First Street, Suite 101 San Jose, California 95131

> Reg. No. 29,140 (408) 965-4001